

FDM Public Disclosures

Company Information

Trading.com Markets Inc.

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Trading.com Markets is a retail foreign exchange dealer registered with the Commodity Futures Trading Commission (“CFTC”) and a forex dealer member (“FDM”) with National Futures Association (“NFA”) (NFA ID: 0516820) (collectively the “Company” or “Trading.com”). Trading.com is a subsidiary of Trading.com Holdings US Inc. registered in Delaware. Trading.com is affiliated with Trading Point Group (“Group”) which has financial services companies operating in multiple jurisdictions around the world, including but not limited to UK, EU, Dubai and Australia.

Pursuant to NFA Rule 2-36(n), Trading.com is required to make certain public disclosures available on its website and update such disclosures as necessary but no less frequently than on an annual basis.

Listed Principals

The Company’s list of principals who are actively involved in the day-to-day management of the Company are as follows:

- Soren Haagensen , Chief Executive Officer

Soren is the Chief Executive Officer of Trading.com and is responsible for overseeing the operations of the Company and overall strategic plan.

Prior to joining the Company, Soren was a Managing Director at Integral Development Corporation in New York. Before Integral, he was the Managing Director at Societe Generale where he headed-up e-commerce for both sales and trading as well as setting trading limits and monitoring compliance issues for FX traders.

Soren holds a bachelor’s degree in Banking from Bikuben Finance School in Denmark. He also has an MBA equivalent degree in Foreign Trade from Copenhagen Business College.

- **Marios Papapostolou, Chief Financial Officer**

Marios is the Chief Financial Officer for Trading.com and is responsible for overseeing the accounting, finance, and risk operations of the Company.

Prior to joining Trading Point Group, he was working as an Assistant Manager in Deloitte Limited, Cyprus. Marios joined Trading Point Group in 2015 as the Financial Controller of the Group and was promoted to CFO of the Group's UK regulated entity in 2016.

Marios is a holder of a BSc in Accounting and Finance from Lancaster University, and he is a member of the Institute of Chartered Accountant in England and Wales ("ICAEW") since 2011.

- **Sean N Gray, Chief Compliance Officer**

Sean is the Chief Compliance Officer of Trading.com. As the Company's Chief Compliance Officer, he is responsible for establishing and implementing a robust compliance program that adheres to all relevant NFA and CFTC rules and regulations.

Prior to joining Sean held the role of Compliance Officer at XTX Markets, LLC. Sean's compliance experience includes working as Compliance officer for Statar Capital LLC, Assistant Vice President of Compliance at Barclays Capital Inc. Additionally, Sean maintains regulatory experience working as a Compliance Examiner with the NFA.

Sean holds an MBA from Nicolais School of Business at Wagner College as well as a number of Securities Industry Qualifications (Series 3 and Series 30)

- **Theofanis Pantelides, Chief Information Officer**

Theofanis is the Chief Information Officer of Trading.com and is responsible for heading the IT function.

Prior to joining, Theofanis held the role of the Technical Product Manager for retail business in Integral Development Corporation. He also served as the Technical Product manager of FxPro Financial Services.

Theofanis attended the University of Edinburgh where he studied Science and Engineering.

- **Andreas Loizides, Indirect Owner**

Andreas, holds a PHD in Computer Science and for the past 12 years he has been the Executive Director and CIO of Trading Point Group.

Currently not involved in any day-to-day operations of Trading.com Markets Inc.

- Charalambos Panayiotou, Indirect Owner

Charalambos, is a fellow of the Cyprus Association of Actuaries and he is a Chartered Financial Analyst. For the past 12 years he has held positions as the Executive Director and Risk Manager of Trading Point Group.

Currently not involved in any day-to-day operations of Trading.com Markets Inc.

- Constantinos Cleanthous, Indirect Owner

Constantinos, holds a bachelor's in business mathematics and Statistics and a Master's degree in Shipping, Trade and Finance and for the past 12 years he has been the Executive Director and CEO of Trading Point Group.

Currently not involved in any day-to-day operations of Trading.com Markets Inc.

- Ilias Mavrommatis, Indirect Owner

Ilias, holds a bachelor in Business Mathematics and Statistics and a Master's degree in Shipping, Trade and Finance and for the past 12 years he has been the Executive Director and Managing Director of Trading Point Group.

Currently not involved in any day-to-day operations of Trading.com Markets Inc.

- Tasos Papanastasiou, Indirect Owner

Tasos, holds a bachelor's degree in Law LLB and a Master's degree in Propaganda and for the past 12 years he has been the Executive Director and Chief Marketing and Sales of Trading Point Group.

Currently not involved in any day-to-day operations of Trading.com Markets Inc.

- Trading.com Holdings US Inc.

Trading.com Holdings US Inc. is our holding entity and is registered as a Principal of the Company.

Business Activities and Products

Currently the Company only offers foreign exchange currency pairs to U.S. retail customers in its capacity as a retail foreign exchange dealer. Trading.com conducts its business from its office in New York. The Company has committed 100% of its capital to its forex activity.

Types of Customers

Retail customers that are U.S. residents

Markets and Currencies traded

Foreign exchange currency pairs that are traded over-the-counter and not on a centralized designated contract market.

Prime Brokers and/or Liquidity Providers

Trading.com utilises Standard Chartered Bank as its Prime Broker for its foreign exchange business.

Policies and Procedures concerning the choice of bank depositories, custodians, and counterparties

The Company's policy is to maintain its operational and customer accounts at large and highly reputable financial institutions. Before entering into any relationship with a financial institution, Trading.com undertakes a thorough review of the institution's financial performance, its credit rating as issued by major credit rating agencies as well as the institution's regulatory history. Customer funds are only deposited with US top tier financial institutions and are diversified to reduce exposure to a single counterparty.

Material Risks of acting as ECP counterparty

Currently Trading.com does not interact with ECP counterparties as defined in Section 1a(18) of the Act. Therefore, there are no material risks with respect to ECPs.

Material complaints or actions

Trading.com is required to disclose any pending material, administrative, civil, enforcement, or criminal complaints or actions filed against it during the last three years. On February 27, 2024, NFA's Business Conduct Committee (BCC) issued a Complaint against Trading.com Markets Inc. (Trading.com). The Complaint charges Trading.com with failing to file timely daily financial reports, daily trade reports, and monthly financial reports. Additionally, the Complaint alleges that Trading.com failed to comply with FDM internal financial controls and failed to supervise. For more information on this complaint or regulatory complaint issues, please visit [NFA BASIC](#).